



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: S&C: 16-39-ALL

DATE: September 08, 2016

TO: State Survey Agency Directors

FROM: Director
Survey and Certification Group

SUBJECT: Certification Number (CCN) State Codes –State Operations Manual (SOM)
Section 2779A Revisions

Memorandum Summary

- **SOM Section 2779A:** The Centers for Medicare & Medicaid Services (CMS) has made revisions to this section in the SOM which provides guidance regarding the numbering system for CCNs for Medicare-participating providers and suppliers. The revision, specifically in Section 2779A1 for Medicare providers reflects the addition of new State Codes.
- **Additional State Codes:** Due to a lack of available CCNs for some providers wishing to enroll or modify their current certification in Medicare, additional State codes are being added to the Automated Survey Processing Environment (ASPEN), the Accrediting Organization System for Storing User Recorded Experiences (ASSURE), as well as Medicare payment processing systems, effective October 1, 2016.

Background

The CCN is used to identify each separately certified Medicare provider or supplier. It is used to track provider agreements and cost reports. The national provider identifier (NPI) and provider transaction account number (PTAN) are tied to the CCN. The CCN for providers and suppliers paid under Medicare Part A have six digits. The first two digits identify the State in which the provider is located. The last four digits identify the type of facility.

CMS has nearly exhausted all available CCNs for certain facility types in some States. Once all CCN ranges available for a facility type are exhausted for the State, new assignments start over using the next State code assigned. If an additional State code has not been previously assigned, CMS must process a request for a new State code.

New State Codes

State codes are programed into all CMS data systems that use the CCN for tracking, and the data requirements are extensive. Currently, systems are being updated to accept four new State codes effective October 1, 2016.

The four States and their new State Codes are:

- Arizona - 00
- Idaho - 54
- New York - 57
- West Virginia -58

If all CCNs for any provider or supplier are exhausted, new CCNs cannot be assigned for that specific provider or supplier type until a new State Code has been assigned, and all information systems updated to accept the new CCNs. New providers or suppliers are unable to bill for services without a functional CCN.

Surveyor tracking systems (ASPEN and ASSURE) as well as Medicare payment processing systems are being updated simultaneously in order to accept the new State Codes.

Contact: Questions regarding the content of this memo should be sent to hospitalscg@cms.hhs.gov.

Effective Date: October 1, 2016. This policy should be communicated with all survey and certification staff, their managers and the State/Regional Office training coordinators within 30 days of this memorandum.

/s/
David R. Wright

Attachment: CCN State Codes – SOM Section 2779A Revisions

cc: Survey and Certification Regional Office Management

CMS Manual System

Pub. 100-07 State Operations Provider Certification

Department of Health &
Human Services (DHHS)
Centers for Medicare &
Medicaid Services (CMS)

Transmittal- Advanced Copy

Date:

SUBJECT: Revisions to the State Operations Manual (SOM) Chapter 2.

I. SUMMARY OF CHANGES: This transmittal includes revisions to SOM Chapter 2, Sections 2779A and 2779A1. The revisions reflect the addition of new CMS Certification Numbers (CCN) State Codes. Simultaneous changes are being made to the CMS electronic payment systems.

NEW/REVISED MATERIAL - EFFECTIVE DATE*: October 3, 2016

IMPLEMENTATION DATE: October 3, 2016

Disclaimer for manual changes only: The revision date and transmittal number apply to the red italicized material only. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual not updated.)

(R = REVISED, N = NEW, D = DELETED) – (Only One Per Row.)

R/N/D	CHAPTER/SECTION/SUBSECTION/TITLE
R	2/2779A/Numbering System for CMS Certification Numbers (CCN)
R	2/2779A1/CCN for Medicare Providers

III. FUNDING: No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2016 operating budgets.

IV. ATTACHMENTS:

	Business Requirements
X	Manual Instruction
	Confidential Requirements
	One-Time Notification
	Recurring Update Notification

*Unless otherwise specified, the effective date is the date of service.

2779A - Numbering System for CMS Certification Numbers (CCN) **(Rev. 04-04-2016)**

Effective in 2007, the CCN replaced the term Medicare Provider Number, Medicare Identification Number or OSCAR Number. The CCN is used to verify Medicare/Medicaid certification for survey and certification, assessment-related activities and communications. Additionally, CMS data systems use the CCN to identify each individual provider or supplier that has or currently does participate in Medicare and/or Medicaid. The RO, not the SA or MAC, assigns the CCN and maintains adequate controls.

2779A1 –CCN for Medicare Providers **(Rev.)**

The CCN for providers and suppliers paid under Medicare Part A have 6 digits. The first 2 digits identify the State in which the provider is located. The last 4 digits identify the type of facility.

Following is a list of all State Codes:

Alabama	01	New Hampshire	30
Alaska	02	New Jersey	31, 83
Arizona	03, 00	New Mexico	32, 96
Arkansas	04, 89	New York	33, 57
California	05, 55, 75, 92	North Carolina	34, 86
Colorado	06, 91	North Dakota	35
Connecticut	07, 81	Ohio	36, 72
Delaware	08	Oklahoma	37, 90
District of Columbia	09	Oregon	38, 93
Florida	10, 68, 69	Pennsylvania	39, 73
Georgia	11, 85	Puerto Rico	40, 84
Hawaii	12	Rhode Island	41
Idaho	13, 54	South Carolina	42, 87
Illinois	14, 78	South Dakota	43
Indiana	15	Tennessee	44, 88
Iowa	16, 76	Texas	45, 67, 74, 97
Kansas	17, 70	Utah	46
Kentucky	18	Vermont	47
Louisiana	19, 71, 95	Virgin Islands	48
Maine	20	Virginia	49
Maryland	21, 80	Washington	50, 94
Massachusetts	22, 82	West Virginia	51, 58
Michigan	23	Wisconsin	52
Minnesota	24, 77	Wyoming	53
Mississippi	25	Canada	56
Missouri	26, 79	Mexico	59
Montana	27	American Samoa	64
Nebraska	28	Guam	65

Nevada	29	Commonwealth of the Northern Marianas Islands	66
		Foreign Countries	99
		(exceptions: Canada and Mexico)	

New State codes must only be used when all of the existing assigned numbers have been assigned within each of the CCN ranges available for a facility type.

Assign the last 4 digits, which identify the facility type, sequentially from within the appropriate CCN range. In a State that has more than one State code and/or a facility type that has more than one CCN range available, ensure that all the numbers available in one CCN range have been assigned before implementing the use of a new State code or numbers in a new CCN range.

For example: You are assigning a CCN to a new Community Mental Health Center (CMHC) in Florida. Florida has three State Codes: 10, 68 and 69 and three number ranges for CMHCs: 1400-1499, 4600-4799, and 4900-4999. You have been using State Code 68 and the CCN range 4900-4999 for CMHC in Florida, and you last assigned 4999 in that State to a CMHC. Given this information, consider the following scenarios:

- Scenario 1. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were assigned. It is determined that all those numbers were previously assigned in Florida. Therefore, look to CMHC CCN range 1400-1499 as those numbers have not been assigned in Florida; assign 681400 as the CCN for the new CMHC that is being processed.
- Scenario 2. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were previously assigned in Florida. It is determined that some, but not all, of the numbers in that CCN range were assigned. The last number in that range that was assigned was 4701. Assign 684702 as the CCN for the new CMHC that is being processed.
- Scenario 3. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were previously assigned in Florida. It is determined that all the numbers in that range were previously assigned in Florida. It is then determined after a review of the CCN records that all of the numbers in CCN range 1400-1499 were previously assigned in Florida. Look to see if all three CMHC CCN ranges for State Code 10 have been assigned. It is determined that all three CCN ranges have been previously assigned. Then look to State Code 69. You find that no CCN for CMHC in Florida has been assigned under State Code 69. The new CCN that will be assigned to the new Florida CMHC will be 691400 because is the first CCN range under State Code 69 listed for CMHCs. However, if it is determined that one of the CMHC CCN ranges under State Code 69 has been previously assigned, begin with the next available number in that CCN range.

NOTE: Once the remaining pool of two digit State numeric codes are exhausted, CMS will implement a two digit alpha-numeric code system for State Codes. For example: A0, A1, A2,... B0, B1, B2,..., Z8, and finally Z9). This numbering system will provide a pool of 260 new State Codes for future use.

Use the following CCN ranges for the facility types indicated:

0001-0879	Short-term (General and Specialty) Hospitals
0880-0899	Reserved for hospitals participating in ORD demonstration project
0900-0999	Multiple Hospital Component in a Medical Complex (Numbers Retired)
1000-1199	Federally Qualified Health Centers (also CCN range 1800-1989)
1200-1224	Alcohol/Drug Hospitals (Numbers Retired)
1225-1299	Medical Assistance Facilities
1300-1399	Critical Access Hospitals
1400-1499	Continuation of Community Mental Health Centers (also CCN ranges 4600-4799 and 4900-4999)
1500-1799	Hospices
1800-1989	Federally Qualified Health Centers (also CCN range 1000-1199)
1990-1999	Religious Non-medical Health Care Institutions (formerly Christian Science Sanatoria (Hospital Services)
2000-2299	Long-Term Care Hospitals (Excluded from IPPS)
2300-2499	Hospital-based Renal Dialysis Facilities
2500-2899	Independent Renal Dialysis Facilities
2900-2999	Independent Special Purpose Renal Dialysis Facility <u>1/</u>
3000-3024	Formerly Tuberculosis Hospitals (Numbers Retired)
3025-3099	Rehabilitation Hospitals (Excluded from IPPS)
3100-3199	Home Health Agencies (also CCN ranges 7000-8499 and 9000-9799)
3200-3299	Continuation of Comprehensive Outpatient Rehabilitation Facilities (also CCN ranges 4500-4599 and 4800-4899)
3300-3399	Children's Hospitals (Excluded from IPPS)
3400-3499	Continuation of Rural Health Clinics (Provider-based) (also CCN ranges 3975-3999 and 8500-8899)
3500-3699	Hospital-based Satellite Renal Dialysis Facilities
3700-3799	Hospital-based Special Purpose Renal Dialysis Facility <u>1/</u>
3800-3974	Rural Health Clinics (Free-standing) (also CCN range 8900-8999)
3975-3999	Rural Health Clinics (Provider-based) (also CCN ranges 3400-3499 and 8500-8899)
4000-4499	Psychiatric Hospitals (Excluded from IPPS)
4500-4599	Comprehensive Outpatient Rehabilitation Facilities (also CCN ranges 3200-3299 and 4800-4899)
4600-4799	Community Mental Health Centers (also CCN ranges 1400-1499 and 4900-4999)

4800-4899	Continuation of Comprehensive Outpatient Rehabilitation Facilities (also CCN ranges 3200-3299 and 4500-4599)
4900-4999	Continuation of Community Mental Health Centers (also CCN ranges 1400-1499 and 4600-4799)
5000-6499	Skilled Nursing Facilities
6500-6989	Outpatient Physical Therapy Services
6990-6999	Numbers Reserved (formerly Christian Science Sanatoria (Skilled Nursing Services))
7000-8499	Continuation of Home Health Agencies (also CCN ranges 3100-3199 and 9000-9799)
8500-8899	Continuation of Rural Health Clinics (Provider-based) (also CCN ranges 3400-3499 and 3975-3999)
8900-8999	Continuation of Rural Health Clinics (Free-standing) (also CCN range 3800-3974)
9000-9799	Continuation of Home Health Agencies (also CCN ranges 3100-3199 and 7000-8499)
9800-9899	Transplant Centers
9900-9999	Reserved for Future Use
1/	Special Purpose Renal Dialysis Facilities (SPRDFs – these facilities will be assigned the same CCN whenever they are recertified)

NOTE: Religious Nonmedical Health Care Institutions (RNHCI) are not certified by SAs. The CCN for RNHCI are assigned by the Boston CMS RO.

EXCEPTION - Organ procurement organizations (OPOs) are assigned a 6-digit alphanumeric CCN. The first 2 digits identify the State Code. The third digit is the alpha character “P.” The remaining 3 digits are the unique facility identifier.