



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: S&C: 14-40-HHA

DATE: July 25, 2014

TO: State Survey Agency Directors

FROM: Director
Survey and Certification Group

SUBJECT: Outcome and Assessment Information Set (OASIS)-C1/International
Classification of Diseases (ICD)-9 Webinar: September 3, 2014

Memorandum Summary

- **Centers for Medicare & Medicaid Services announces changes to OASIS-C1 implementation:**
- **Upcoming Webinar:** The Survey and Certification Group (SCG) is sponsoring a webinar entitled “OASIS-C1/ICD9” on September 3, 2014, 2:00-3:30 pm EST.
- **SCG Presenter:** Patricia Sevast, RN, Nurse Consultant, along with Linda Krulish, PT, MHS, COS-C, President, OASIS Answers, Inc., and Deborah Chisholm, RN, BSN, CPHQ, COS-C, Director of Educational Programs, OASIS Answers, Inc., will present a discussion of the new OASIS-C1 data set to be implemented January 1, 2015.
- **Target Audience:** This webinar is intended for all State Survey Agencies and Regional Office staff, as well as Home Health Agency (HHA) providers.

Purpose

On April 1, 2014, the Protecting Access to Medicare Act of 2014 (PAMA) (Pub. L. No. 113-93) was enacted, which said that the Secretary may not adopt ICD-10 prior to October 1, 2015. CMS has spent several weeks assessing what, if any, impact this ICD-10 delay will have for our various quality reporting programs. CMS has determined that the ICD-10 delay will have an impact on the Home Health Quality Reporting Program and more specifically on the implementation of OASIS-C1.

A new version of the OASIS data items set (i.e. OASIS-C1) had been scheduled for implementation on 10/01/2014. However, 5 data items contained in OASIS-C1 require the use ICD-10 codes. In light of the fact that ICD-10 has been delayed until at least 10/01/2015, CMS has determined that it is necessary to make interim changes to the OASIS-C1 data items set and also the process for the reporting of OASIS-C1 data. The following is a summary of the changes that CMS will be making in the Home Health Quality Reporting Program:

A. OASIS-C1 Implementation

1. A modified version of OASIS-C1 will be created, in which the 5 items that use ICD-10 codes (i.e. - M1011, M1017, M1021, M1023, M1025) will be **replaced** with the corresponding ICD-9 based items from OASIS-C (i.e. – M1010, M1016, M1020, M1022, M1024).

This modified version of OASIS-C1 that will be referred to as “**OASIS-C1/ICD-9 Version.**”

2. The current version of the OASIS data set (OASIS-C) will remain in effect until 11:59:59 p.m. on 12/31/2014;
3. The **OASIS-C1 / ICD-9 Version** will go into effect at 12:00 a.m. on 01/01/2015 and shall remain in effect until ICD-10 is implemented or until otherwise determined by CMS;

B. Conversion To Assessment Submission and Processing (ASAP) System

1. OASIS submissions to the State system will discontinue starting at 6:00 p.m. ET on 12/26/2014. The HHA State submission system will no longer be used for OASIS submissions. New, modification, or inactivation records in the current flat file format must be submitted prior to 6:00 p.m. ET on 12/26/2014.
2. Effective January 1, 2015, OASIS assessment data will be submitted to CMS via the Assessment Submission and Processing system. With the implementation of the OASIS ASAP system, Home Health Agencies will no longer submit OASIS assessment data to CMS via their State databases.

C. Payment Grouper Updates

1. An updated home health payment grouper will be provided to accommodate assessments submitted 10/01/2014 and later using OASIS-C and ICD-9-CM codes;
2. An updated home health payment grouper will be provided to accommodate assessments submitted 01/01/2015 and later using OASIS-C1 and ICD-9-CM codes;
3. At this time, a new home health payment grouper update is not planned until 10/01/2015.

Webinar Details

On, September 3, 2014, the Survey and Certification Group is sponsoring a webinar on “OASIS-C1/ICD-9”. The topics of this 1 ½ hour webinar include:

- The new OASIS-C1/ICD-9 data set and its implementation, scheduled for January 1, 2015;
- Types of changes made to the data set;
- OASIS-C1/ICD-9 Guidance Manual changes; and

- OASIS Q&As update.

Questions related to OASIS-C1/ICD-9 and its guidance should be submitted prior to and following the webinar to the OASIS mailbox at: cmssoasisquestions@oasisanswers.com.

We will not have a Q&A session at the end of the presentation. We want to make sure all questions are researched prior to answering. This may involve contacting our OASIS development contractors. Following the webinar, we will post a Q&A document addressing questions previously submitted.

We have reserved a total of 1,000 lines for this webinar. All participants will be in the same queue, so we encourage participants to call in up to 30 minutes ahead of start time. We encourage all interested State and Regional Office Staff to participate in the webinar as it relates to the accuracy of OASIS data collection and enforcement of the Home Health Agency (HHA) Conditions of Participation (CoPs). The webinar will be archived within 3-4 weeks after the live presentation.

Our intention is to provide important guidance related to the implementation of the OASIS-C1/ICD-9 data set scheduled for implementation on January 1, 2015. We also encourage providers to attend this webinar. Webinar materials will be forthcoming prior to the webinar and posted at: <http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/OASIS/Training.html>

Logistics

Webinar Instructions for Learning Management System (LMS) users (Surveyors):

Prior to the Day of the Webinar

1. To receive credit for watching the Webinar, register in TotalLMS at <http://cms82.sumtotalsystems.com/sumtotal/>. Use your surveyor ID to log into TotalLMS.
2. Type “OASIS-C1” in search box or click on any of the disciplines under 1-Surveyor or 2-Surveyor columns, in “Catalog” section of TotalLMS. Select intended Webinar and follow instructions.
3. After completing the registration process, a “Start” button with the name of the Webinar will appear in your “To Do List” in TotalLMS.

On the Day of the Webinar

1. Log into TotalLMS, go to your “To Do List” select the “Start” button to launch the webinar. **(If you have not registered for the Webinar prior to the scheduled date and wish to receive credit see instructions in “Prior to the Day of the Webinar.”)**
2. From the Adobe Connect Meeting window, type your name in the “Guest Box.” *Do not check the radio button next to enter “login and password” and do not enter a login and password on the Adobe Connect screen.*

3. Press “Enter Room” to access the webinar.
4. Dial into audio bridge number, **(800) 603-1774** (Conference ID: **64064429**) to hear the audio portion of the Webinar.
5. At the conclusion of the webinar, exit the webinar. The system will return to TotalLMS. Your screen will display “Have you completed this activity?” select ‘Yes’.
6. Proceed to complete the evaluation in TotalLMS.

Webinar Instructions for non LMS users (HHAs and other participants):

1. On the day of the webinar go directly to <https://webinar.cms.hhs.gov/oasis1/>
2. From the Adobe Connect Meeting window, type your name in the “Guest Box.”
3. Press “Enter Room” to access the webinar.
4. Dial into audio bridge number, **(800)-603-1774** (Conference ID: **64064429**) to hear the audio portion of the Webinar.

For webinar technical questions contact Etolia Biggs at Etolia.biggs@cms.hhs.gov, or call 410-786-8664.

For other questions related to OASIS-C1, contact Patricia Sevast at Patricia.sevast@cms.hhs.gov, or call 410-786-8135.

Please encourage your staff to participate in the webinar on OASIS-C1. The topics to be discussed are relevant to the roles of the State Agencies and Regional Offices in the implementation of this new data set.

/s/

Thomas E. Hamilton

cc: Survey and Certification Regional Office Management