



**Center for Clinical Standards and Quality/Survey & Certification Group**

---

**Ref: S&C: 13-40-ESRD**

**DATE:** June 21, 2013

**TO:** State Survey Agency Directors

**FROM:** Director  
Survey and Certification Group

**SUBJECT:** End Stage Renal Disease (ESRD) Home Dialysis Only Facilities Offering Home Hemodialysis (HHD), Home Peritoneal Dialysis (PD), or Both HHD and PD Modalities

**Memorandum Summary**

- **Clarification of CMS Policy on Home Dialysis Only Facilities:** In 2012 a statement was erroneously added to Form CMS-3427 requiring that ESRD Home Dialysis Only facilities must provide both HHD and PD support and training services in order to be Medicare certified. In fact, these facilities may provide *either* HHD or PD or both.
- **Associated ASPEN Revisions:** A revision to form CMS-3427 allowing HHD or PD or both modalities will be incorporated at the next ASPEN release scheduled for July, 2013.

**Discussion**

In 2012, a statement was erroneously added to Form CMS-3427 requiring ESRD Home Dialysis Only facilities to provide *both* HHD and PD in order to be Medicare certified. However, per 42 CFR 405.2102, the definition of an ESRD facility is, "A facility which is approved to furnish at least one specific ESRD service..." Therefore, ESRD Home Dialysis Only facilities may provide HHD *or* PD *or* both modalities.

Form CMS-3427 will be revised to be consistent with the regulation by the end of July, 2013.

Please direct any questions regarding the information contained within this memorandum to [Judith.Kari@cms.hhs.gov](mailto:Judith.Kari@cms.hhs.gov).

**Effective Date:** Immediately. This information should be communicated with all survey and certification staff, their managers, and the State/Regional Office Management.

/s/

Thomas E. Hamilton

cc: Survey and Certification Regional Office Management