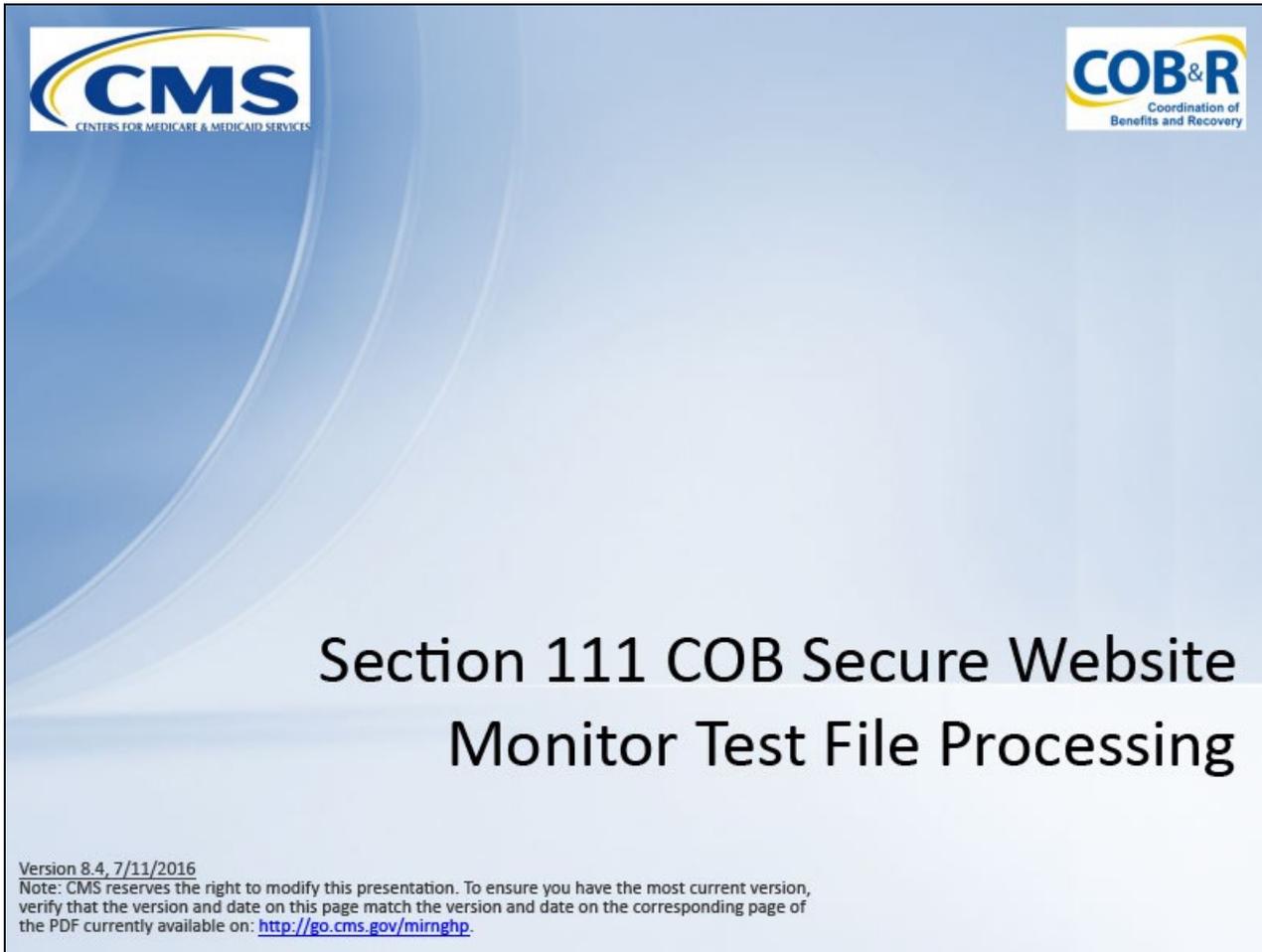


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The slide features a light blue background with a subtle wave pattern. In the top left corner is the CMS logo (Centers for Medicare & Medicaid Services). In the top right corner is the COB&R logo (Coordination of Benefits and Recovery). The main title is centered in a large, black, sans-serif font. At the bottom left, there is a small text block containing version information and a disclaimer.

**CMS**  
CENTERS FOR MEDICARE & MEDICAID SERVICES

**COB&R**  
Coordination of  
Benefits and Recovery

# Section 111 COB Secure Website Monitor Test File Processing

Version 8.4, 7/11/2016  
Note: CMS reserves the right to modify this presentation. To ensure you have the most current version, verify that the version and date on this page match the version and date on the corresponding page of the PDF currently available on: <http://go.cms.gov/mirmghp>.

**Slide notes**

Welcome to the Section 111 Coordination of Benefits Secure Web site (COBSW) Monitor Test File Processing course.

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## Disclaimer

While all information in this document is believed to be correct at the time of writing, this CBT is for educational purposes only and does not constitute official CMS instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found at the following link: <http://go.cms.gov/mirnghp>.

### Slide notes

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<http://go.cms.gov/mirnghp>.

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## Course Overview

- Testing Process
- Reporting Agent Testing
- Threshold and Severe File Submission Errors
- Viewing Test File Results on Section 111 COBSW

**Slide notes**

This course will provide a high-level overview on the testing process, Reporting Agent testing, Threshold and Severe File Submission Errors and viewing test results on the Section 111 COBSW.

Please refer to the Section 111 User Guides located under the Reference Materials menu option or on the CMS Section 111 Web site for requirements regarding testing Section 111 files.

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## Testing Process

- Account Setup must be completed and signed profile report returned before testing can begin
- Authorized Representative and Account Manager notified by E-mail of testing status

### Slide notes

A Responsible Reporting Entity (RRE) must complete the Account Setup on the Section 111 COBSW and return the signed profile report to the Benefits Coordination and Recovery Center (BCRC) before testing can begin.

An E-mail is sent to the Authorized Representative and Account Manager to notify them that the RRE ID is in a testing status.

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## Testing Process

- Test Files
  - Limited to 100 records each for GHP RREs
  - Limited to no more than 200 Detail and Auxiliary records for NGHP RREs
  - Must be submitted using same file transmission method as was chosen for production files
- RRE ID remains in testing status until testing satisfactorily completed

### Slide notes

Test files are limited to 100 records each and can only be submitted after the RRE ID status has been changed to Testing for Group Health Plan (GHP) RREs.

Test files must be limited to no more than 200 Detail and Auxiliary records (excluding the Header and Trailer) for Non-Group Health Plan (NGHP) RREs.

RREs must submit test files using the same file transmission method as was chosen for submitting production files.

The RRE ID will remain in a testing status until all testing requirements have been satisfactorily completed.

Please review the testing requirements in the Section 111 User Guides.

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## Testing Process

- Once testing completed, RRE ID transitions from testing to production status
- E-mail sent to Authorized Representative and Account Manager
- Test files can be submitted after RRE ID is in production status

### Slide notes

Once testing has been completed, the RRE ID will be transitioned from a testing to a production status and the RRE can begin submitting production files.

An E-mail is sent to the Authorized Representative and Account Manager, notifying them of the status change.

Test files can still be submitted after the RRE ID status has been changed to Production at the discretion of the RRE.

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## Reporting Agent Testing

- Reporting agent will submit data for RRE, may test on behalf of RRE
- Individuals from RRE's reporting agent company may act as RRE ID Account Manager

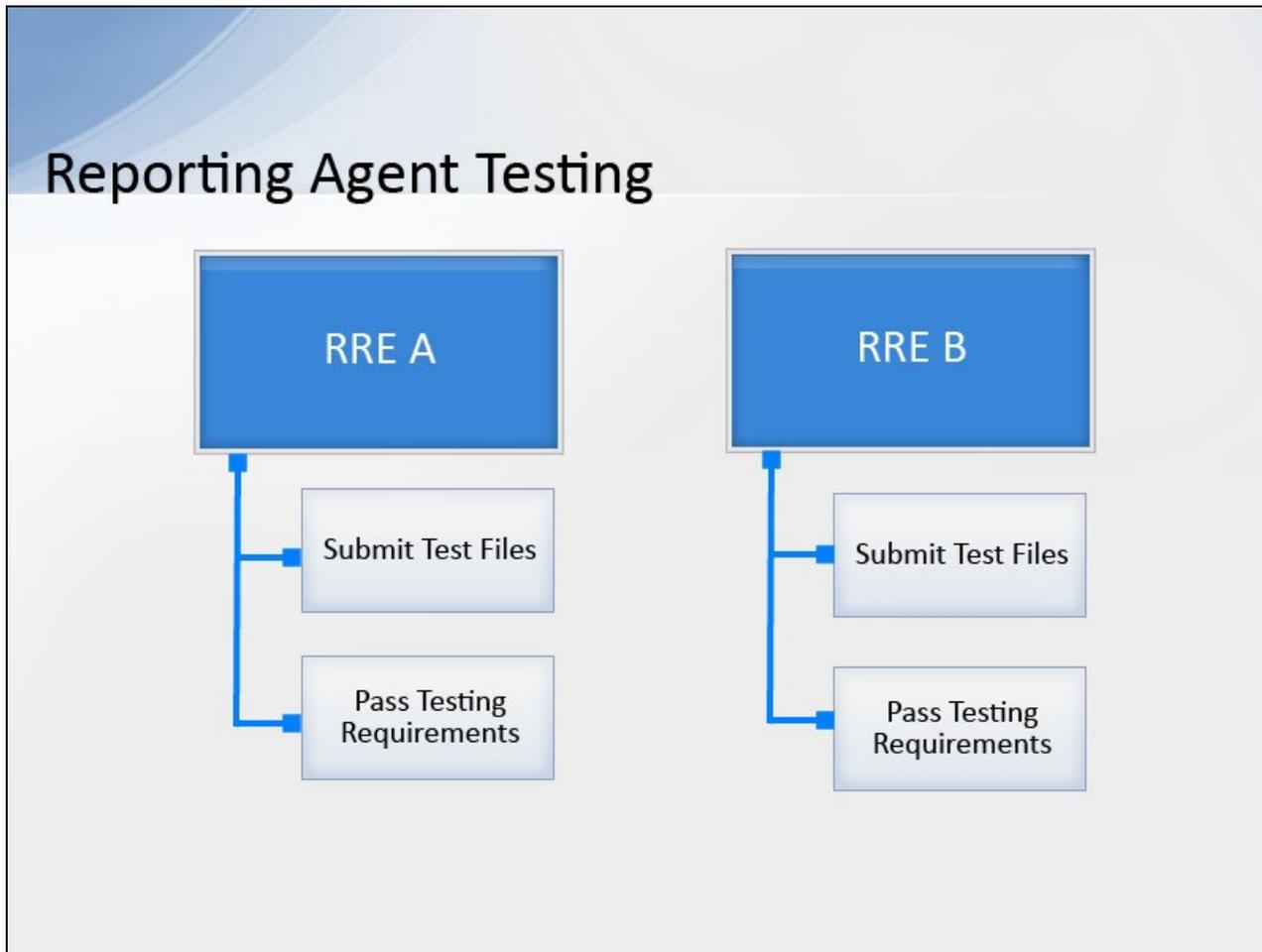
### Slide notes

A reporting agent is an entity that will be submitting data for and may perform testing on behalf of RREs.

At the RRE's discretion, individuals from an RRE's reporting agent company may play the role of Account Manager for the RRE ID,

but more typically is invited by the RRE's Account Manager to register as Account Designees.

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**Slide notes**

Since testing and production statuses are tracked in the system by RRE ID,

when an agent submits files on behalf of an RRE, the agent must submit test files and pass the testing requirements for each RRE ID for which he/she is providing this service.

In other words, even if an agent passes the testing process for one RRE ID, it is still required to submit test files for each subsequent RRE ID that he/she represents.

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# File Status

**File Listing**

RRE ID: 39520  
 RRE Name: MY BIG RRE

Files submitted for this RRE ID are listed below.

After files have been processed by the BCRC, they are separated into components depending on the file type and record separators.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTPS, click on the Response File link.

Links for response files displayed on this site. If you have any questions about the files on this page, please contact your assigned EDI Representative.

**Record Types:**

**QSP**      **Liability No-Fault/Workers' Compensation**

- MS - MSP Input File
- NM - Non-MSP Input File
- QY - Query Only Input File
- UN - Unsolicited Alert File
- TR - TR Reference File
- CR - Claim Input File
- QY - Query Input File
- TR - TR Reference File

File ID	Record Type	Receipt DT	Process DT	Record Cnt	Status	Some Match	Some No-Match
111220307	MS	5/5/14	5/5/14	25	Complete	15	10
	Response DT: 5/7/14						
	Response File: >>PCOB_BA_MR_GHRMSP.RESP.D20140507.T14190759.TXT<<						
118810999	TR	4/23/14	4/23/14	301	Complete	48	252
	Response DT: 4/25/14						
	Response File: >>PCOB_BA_MR_GHPTIN.RESP.D20140425.T14190752.TXT<<						
118990999	UN	10/23/13	10/23/13	25	Complete	15	10
	Response DT: 10/24/13						
	Response File: >>PCOB_BA_MR_GHPUNS.RESP.D20131024.T12292123.TXT<<						

Return to RRE Listing

If there are errors, the file must be corrected and resubmitted as soon as possible

For questions, contact EDI Representative

File status displayed on File Listing page

**Slide notes**

File status is displayed on the File Listing page under the associated RRE ID.

As files are received by the application, file information is saved and stored on a database and a status code is used to track the file as it processes through the system.

If there are errors in the file, it will have to be corrected and resubmitted as soon as possible.

RREs with questions about file status should contact their assigned Electronic Data Interchange (EDI) Representative.

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## Threshold and Severe File Submission Errors

- File may be rejected/suspended from processing due to severe errors
  - Missing or improperly formatted Header or Trailer Records
  - Record counts that do not match those actually submitted
  - File Header Record does not contain a valid RRE ID

### Slide notes

Submitted files may be rejected or suspended from processing due to severe errors.

For example, missing or improperly formatted Header or Trailer Records, record counts that do not match those actually submitted,

or file Header Record does not contain a valid RRE ID.

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## Severe File Submission Errors

- Input files with severe errors suspended from further processing
- Sample e-mail sent to Account Manager

```
Subject: Section 111 Reporting: RRE ID# [RPTR_ID] [FILE_TYPE_NAME] Severe Error Notification
***PLEASE DO NOT REPLY TO THIS EMAIL***
RRE ID:
RRE Name:
Authorized Representative:
Account Manager:
A _____ file received on _____ cannot be processed due to the following severe error:
  [ERROR_MESSAGE]
This file is not acceptable and cannot be processed. Please submit a corrected file or contact your EDI Representative immediately for further instructions. If the account information included in this email is not correct, please contact your EDI Representative.
```

### Slide notes

A file that receives a Severe Error, such as a missing Header or Trailer Record, will be suspended from further processing until the suspension is overridden by your EDI Representative.

An E-mail will be sent to the Account Manager for the RRE ID regarding the Severe Error found. A sample of this E-mail is shown on the screen.

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## Severe File Submission Errors

- Contact your EDI Representative
- EDI Representative will delete file and provide instructions
- File must be corrected and resubmitted

### Slide notes

You must contact your EDI Representative to discuss the situation.

The EDI Representative will then delete the file and provide you with instructions as to when to send a corrected file.

If the rejected file is a required, quarterly submission of your MSP Input File, for GHPs, or Claim Input File, for NGHPs, then it must be corrected and resubmitted as soon as possible.

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## Threshold File Submission Errors

- Suspend files from processing
- Applied to
  - MSP Input File (GHP)
  - Non-MSP Input File (GHP)
  - Claim Input File (NGHP)
- Catch files suspected to be erroneous before Medicare's databases are updated

### Slide notes

The BCRC has certain threshold checks in place that will suspend files from further processing until the suspension is overridden by your EDI Representative.

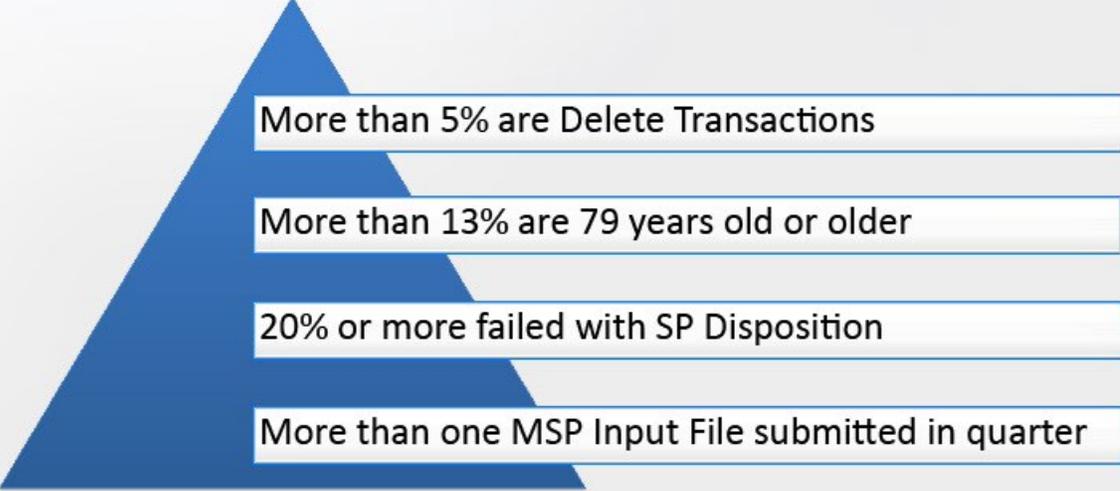
The threshold checks are applied to the Medicare Secondary Payer (MSP) and Non-MSP Input Files, for GHPs, and Claim Input Files, for NGHPs.

The threshold restrictions are in place to catch files that are suspected to be erroneous before the BCRC completes processing and updates Medicare's databases.

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## Severe File Submission Errors - GHPs

- MSP or Non-MSP Input File in error if it exceeds the following thresholds



More than 5% are Delete Transactions

More than 13% are 79 years old or older

20% or more failed with SP Disposition

More than one MSP Input File submitted in quarter

### Slide notes

Your MSP or Non-MSP Input File will be in error if it exceeds the following thresholds:

more than 5% of the total submitted records are Delete Transactions, more than 13% of the individuals reported are 79 years of age or older,

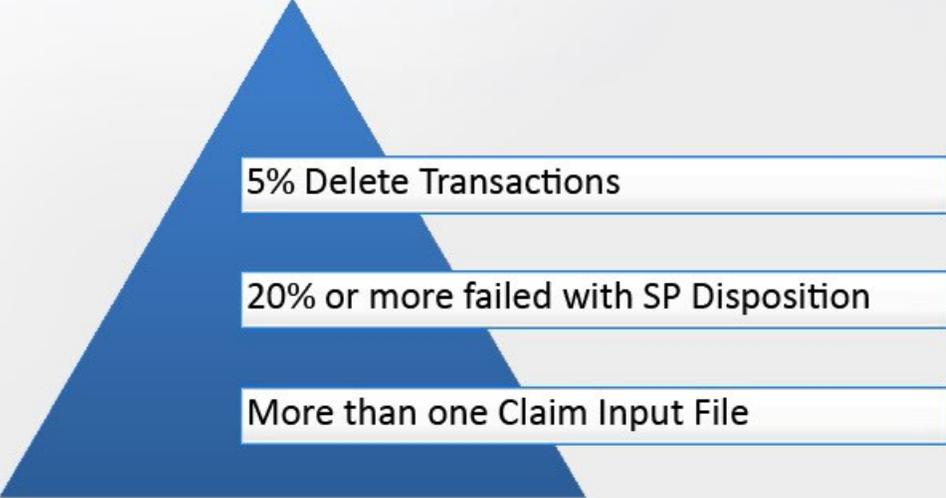
20% or more of the total submitted records failed with a Disposition Code of SP due to errors, or that you submitted more than one MSP Input File during your defined quarter.

Please refer to the Section 111 GHP User Guide for more information on these edits.

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## Threshold File Submission Errors - NGHPs

- Claim Input File in error if it exceeds the following thresholds



5% Delete Transactions

20% or more failed with SP Disposition

More than one Claim Input File

### Slide notes

Your Claim Input File will be in error if it exceeds the following thresholds:

5% or more of the total submitted records are Delete Transactions, 20% or more of the total submitted records failed with a Disposition Code of SP due to errors,

or you submitted more than one Claim Input File during your defined quarter.

Please refer to the Section 111 NGHP User Guide for more information on these edits.

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## Threshold File Submission Errors

- System generates E-mail to Account Manager
- Account Manager/Designee must contact EDI Representative to discuss and resolve error
- File may be
  - Released for processing
  - Deleted by EDI Representative
    - Correct
    - Resubmit

### Slide notes

The system generates an E-mail to inform the Account Manager that the file has been suspended from processing and lists the threshold error found.

An Account Manager or Account Designee must contact the EDI Representative assigned to the RRE ID to discuss and resolve the file threshold error.

The file may be released for processing or, if sent in error, deleted by the EDI Representative in which case a corrected file must be submitted as instructed.

If you uploaded the wrong input file, please contact your EDI Representative immediately.

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## View Test Results

- Logon to Section 111 COBSW at [www.cob.cms.hhs.gov/Section111](http://www.cob.cms.hhs.gov/Section111)



### Slide notes

To monitor test file processing, Section 111 COBSW users associated with the RRE's account will logon to the Section 111 application on the Section 111 COBSW at the following link: [www.cob.cms.hhs.gov/Section111](http://www.cob.cms.hhs.gov/Section111).

Note: all users associated with the RRE ID can monitor the status of the testing process regardless of which file transmission method they are using.

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# RRE Listing Page



**SECTION 111**  
Mandatory Reporting



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[About This Site](#)
[CMS Links](#)
[How To...](#)
[Reference Materials](#)
[Contact Us](#)
[Home](#)
[Log off](#)
[Skip Navigation](#)

## RRE Listing

This page lists all the (Responsible Reporting) Identification Numbers (RRE IDs) with which you are associated. You can select from the Actions available in the drop-down menu next to each RRE ID. Click on the down arrow, select an action from the list and then click on the Go button.

To search for a specific RRE enter the RRE ID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

One item found:

RRE ID	Name	Status	Submission Period	Reporting Option	EDI Representative Name	EDI Phone Number	EDI Email	Actions
37000	TESTING 00	DTCH	03	Expanded	Key Martin	7732702335	key@test.com	<input type="button" value="Expand"/> <input type="button" value="Select"/> <input type="button" value="Go"/>

[Expand options](#) [Text](#) [Spreadsheet](#)

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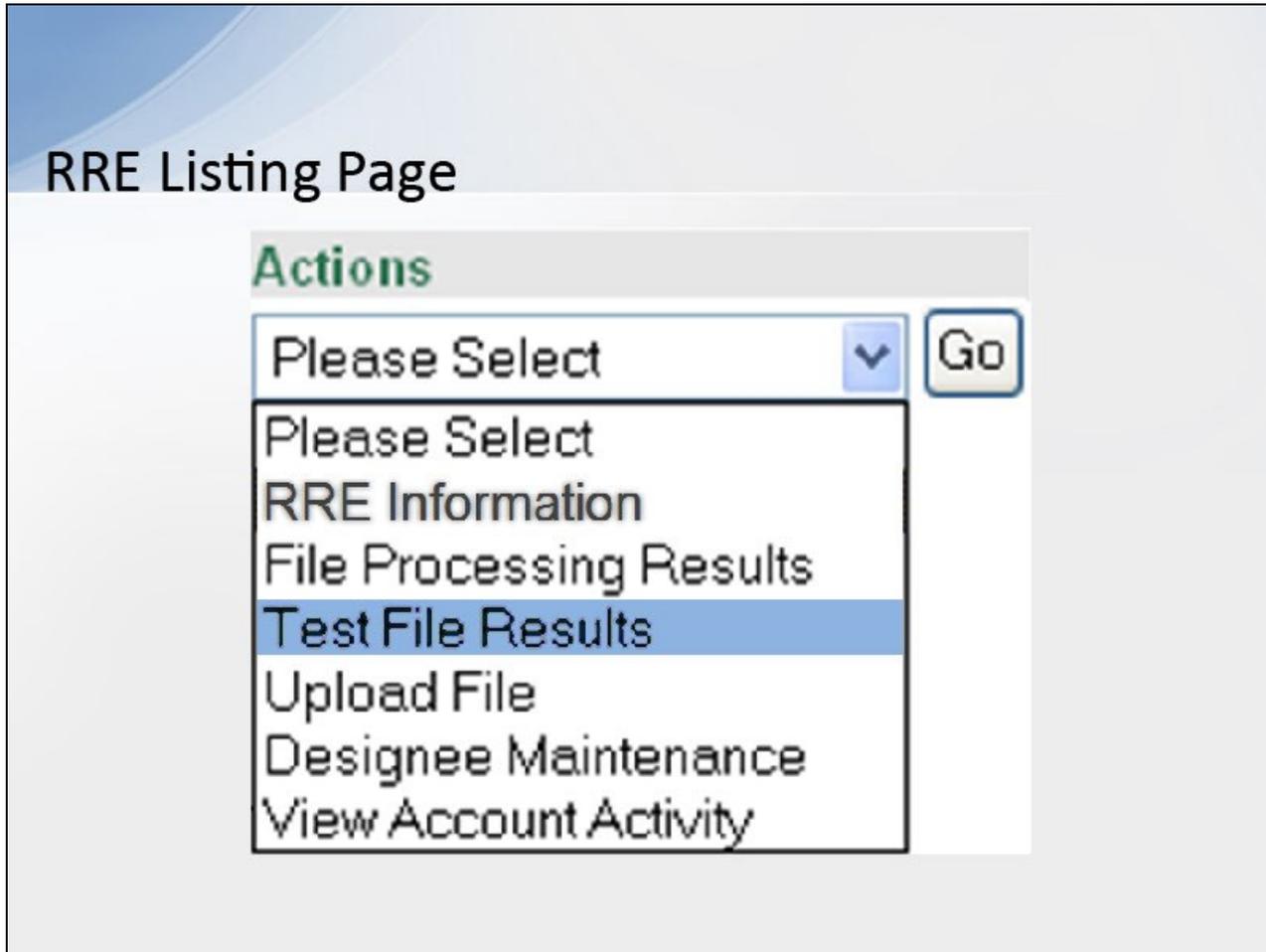
**SECURE AREA**

[Privacy Policy](#) | [User Agreement](#)

Slide notes

After a successful login, the RRE Listing Page will display. This page functions as the main processing screen or Home page to initiate any of the Section 111 COBSW processes. It lists all of the RRE IDs to which you are associated.

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**Slide notes**

From the RRE Listing page click "Test File Results" from the Actions dropdown box for the applicable RRE ID and click Go.

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# File Listing Page

[File Listing](#) 

RRE ID: 30520  
RRE Name: NY QIC nnc

Files submitted for this RRE ID are listed below.

After files have been processed by the DCRC, they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTP, click on the response file name link that appears on the right.

Links for response files displayed on this site for more than 180 days will be deactivated. Should you require a copy of a response file that is not available on this page, please contact your assigned EDI Representative for assistance.

Record Types:

**GSP**

- MS - MSP Input File
- NM - Non-MSP Input File
- QV - Query Only Input File
- UN - Unsolicited Alert File
- TR - TR Reference File

**Liability/No-Fault/Workers' Compensation**

- CM - Claim Input File
- QV - Query Input File
- TR - TR Reference File

File ID	Record Type	Receipt DT	Process DT	Record Cnt	Status	Bene Match	Bene No-Match
11120807	MS	5/5/14	5/5/14	25	Complete	18	10
	Response DT: 5/7/14 Response File: >>PCOB.BA.MR.GHPRMF.RESP.D20140507.T14190759.TXT<<						
110810988	TG	4/23/14	4/23/14	301	Complete	28	282
	Response DT: 4/23/14 Response File: >>PCOB.BA.MR.GHPTIN.RESP.D20140425.T14190752.TXT<<						
118999909	UN	10/23/13	10/23/13	28	Complete	18	10
	Response DT: 10/24/13 Response File: >>PCOB.BA.MR.GHPUNG.RESP.D20131024.T13282133.TXT<<						

[Return to RRE Listing](#)

**Slide notes**

The File Listing page will display showing test file information for the RRE ID.

As files are received by the application, file information is saved and stored on a database, and a status code is used to track the file as it processes through the system.

This file status is displayed on this page under the associated RRE ID.

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# File Listing Page

[File Listing](#)  [Print this page](#)

RRE ID: 30520  
RRE Name: NY DIC nnc

Files submitted for this RRE ID are listed below.

After files have been processed by the DCRC, they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

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Record Types:

**GSP**

- MS - MSP Input File
- NM - Non-MSP Input File
- QV - Query Only Input File
- UN - Unsolicited Alert File
- TR - TR Reference File

**Liability/No-Fault/Workers' Compensation**

- CM - Claim Input File
- QV - Query Input File
- TR - TR Reference File

File ID	Record Type	Receipt DT	Process DT	Record Cnt	Status	Bene Match	Bene No-Match
11120807	<a href="#">MS</a>	5/5/14	5/5/14	25	Complete	18	10
		Response DT: 5/7/14					
		Response File: >>>POB.BA.MR.GHPMSP.RESP.D20140507.T14190759.TXT<<<					
110810988	<a href="#">TD</a>	4/23/14	4/23/14	301	Complete	48	282
		Response DT: 4/23/14					
		Response File: >>>POB.BA.MR.GHPTIN.RESP.D20140425.T14190752.TXT<<<					
118000000	<a href="#">UN</a>	10/23/13	10/23/13	28	Complete	18	10
		Response DT: 10/24/13					
		Response File: >>>POB.BA.MR.GHPUNG.RESP.D20131024.T13282133.TXT<<<					

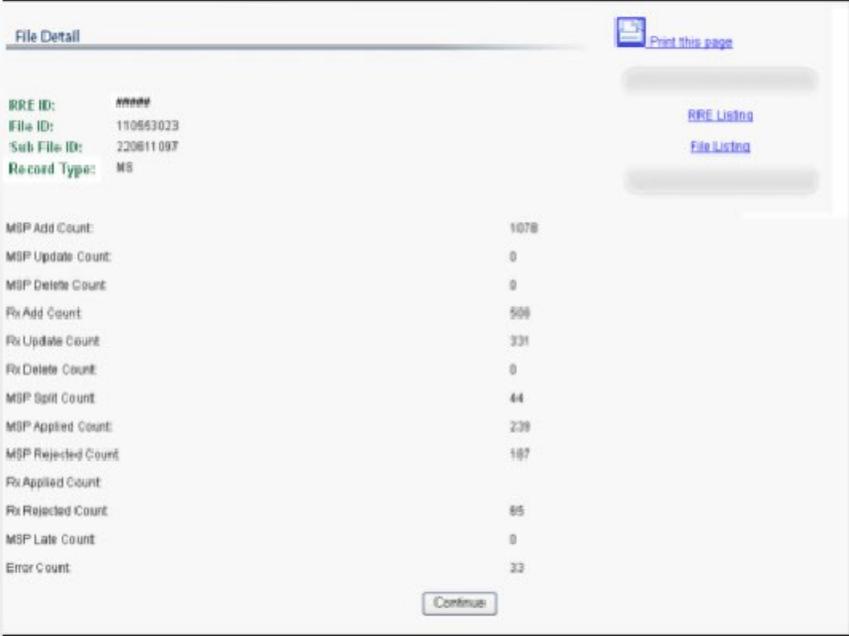
Return to RRE Listing

Slide notes

In order to view more detailed information about a particular file that was submitted, click on the File Type link for the file you wish to view.

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## File Detail Page



The screenshot shows a web page titled "File Detail" with a "Print this page" button in the top right. The page displays the following information:

**File Detail**

RRE ID: #####  
File ID: 110953023  
Sub File ID: 220811097  
Record Type: MS

[RRE Listing](#)  
[File Listing](#)

MSP Add Count:	1078
MSP Update Count:	0
MSP Delete Count:	0
Rx Add Count:	508
Rx Update Count:	331
Rx Delete Count:	0
MSP Split Count:	44
MSP Applied Count:	238
MSP Rejected Count:	187
Rx Applied Count:	
Rx Rejected Count:	85
MSP Late Count:	0
Error Count:	33

[Continue](#)

### Slide notes

The File Detail page displays, listing statistics associated with the selected file.

The statistics will vary, depending on the type of file submitted.

You will use the counts shown on the File Detail page to determine whether the submitted MSP Input or Claim Input test files passed the testing criteria specified in the reporting user guides

(e.g. 1078 Adds were successfully processed on the initial test file, etc.).

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## File Detail Page

**File Detail**  [Print this page](#)

**RRE ID:** \*\*\*\*\*  
**File ID:** 110953023  
**Sub File ID:** 220611097  
**Record Type:** MS

[RRE Listing](#)  
[File Listing](#)

MSP Add Count:	1078
MSP Update Count:	0
MSP Delete Count:	0
Rx Add Count:	508
Rx Update Count:	331
Rx Delete Count:	0
MSP Split Count:	44
MSP Applied Count:	239
MSP Rejected Count:	187
Rx Applied Count:	
Rx Rejected Count:	95
MSP Late Count:	0
Error Count:	33

[Continue](#)

**Slide notes**

After reviewing test file activity, click the RRE Listing link on the page.

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**RRE Listing Page**

SECTION 111  
Mandatory Reporting

COB-R

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 [How To...](#) | 
 [Reference Materials](#) | 
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RRE Listing

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To search for a specific RRE, enter the RRE ID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

RRE ID:  Search Refresh RRE Listing

One item found

RRE ID	Name	Status	Submission Period	Reporting Option	EDI Representative Name	EDI Phone Number	EDI Email	Actions
37000	TESTING 00	DTCH	03	Expanded	Kay Martin	7732702335	kay@dot.com	<a href="#">Refresh</a>   <a href="#">Select</a>   <a href="#">Go</a>

Expand options: Text | Spreadsheet

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Slide notes

The system then displays the RRE Listing page.

From here, you can Logoff, or perform another task on the Section 111 COBSW.

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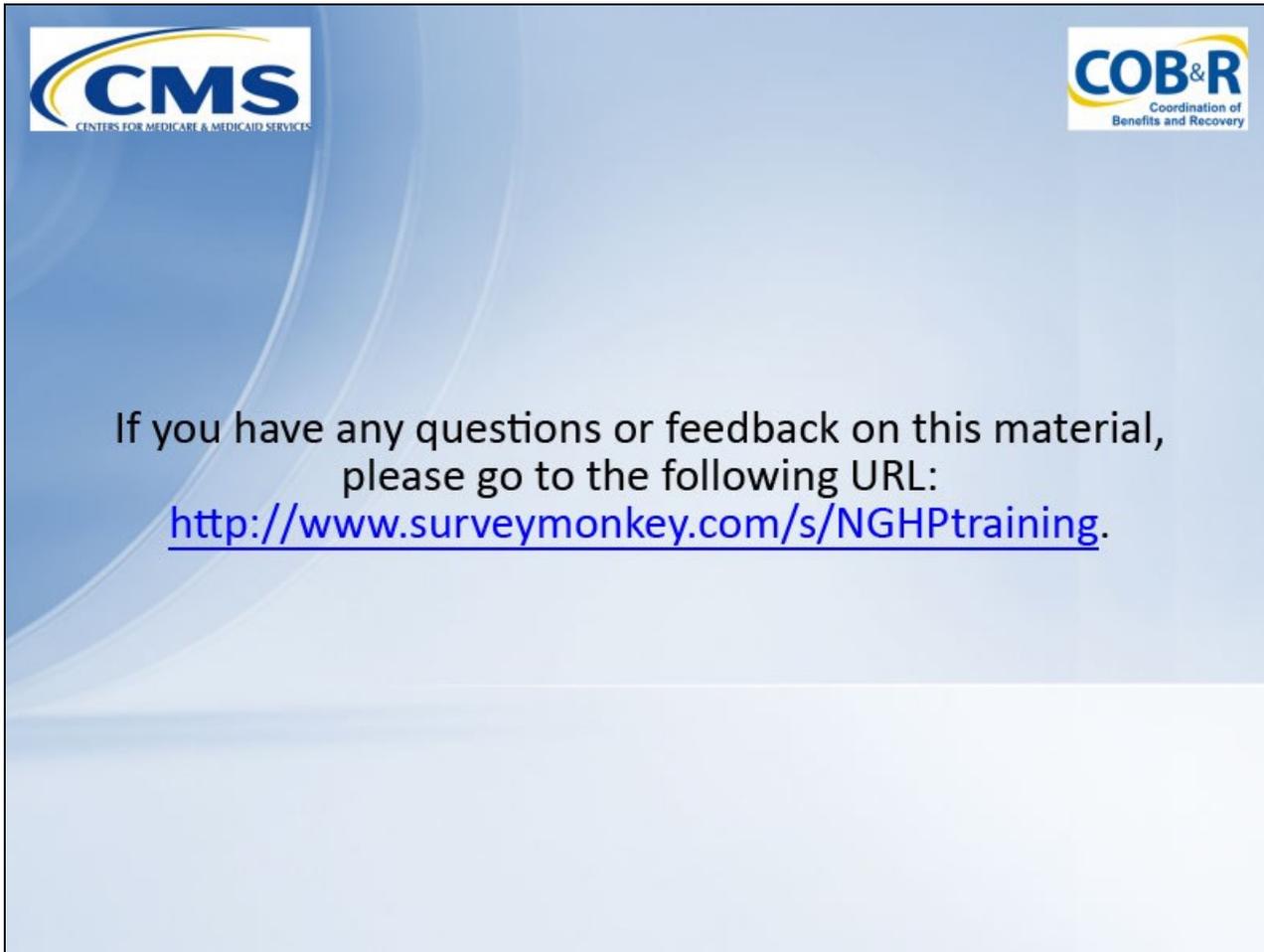


You have completed the Monitor Test File Processing course. Information in this course can be referenced by using the Section 111 COBSW User Guide's table of contents, which can be accessed by logging into the Section 111 COBSW.

**Slide notes**

You have completed the Monitor Test File Processing course. Information in this course can be referenced by using the Section 111 COBSW User Guide's table of contents, which can be accessed by logging into the Section 111 COBSW.

Slide 26 - Slide 26



**Slide notes**

If you have any questions or feedback on this material, please go to the following URL:  
<http://www.surveymonkey.com/s/NGHPtraining>.