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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION   | (X1) PROVIDER / SUPPLIER / CLIA IDENTIFICATION NUMBER<br><b>155222</b>   | (X2) MULTIPLE CONSTRUCTION<br>A. BUILDING _____<br>B. WING _____                 | (X3) DATE SURVEY COMPLETED<br><b>05/17/2020</b> |
| NAME OF PROVIDER OF SUPPLIER<br><b>KOKOMO HEALTHCARE CENTER</b>  |  | STREET ADDRESS, CITY, STATE, ZIP<br><b>429 W LINCOLN RD<br/>KOKOMO, IN 46902</b> |   |
| For information on the nursing home's plan to correct this deficiency, please contact the nursing home or the state survey agency. |  |  |   |
| (X4) ID PREFIX TAG   | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)   |  |   |
| F 0814<br><br><b>Level of harm - Minimal harm or potential for actual harm</b><br><br><b>Residents Affected - Many</b>             | <p><b>Dispose of garbage and refuse properly.</b></p> <p>Based on observation, interview and record review, the facility failed to dispose of garbage and refuse, including biohazard waste properly and failed to maintain the dumpsters and storage area in a sanitary condition to prevent the potential for harborage and feeding of pests and to keep the dumpster lids closed for 2 of 2 dumpsters observed for proper waste disposal. This deficient practice had the potential to affect 68 of 68 residents. Findings include: On 5/17/2020 at 9:45 a.m., during a facility revisit for COVID-19 a phone call was received indicating there were concerns with the facility's dumpsters: they were overflowing with trash and it was laying all over the ground outside the dumpsters, red bags appeared to be inside clear plastic bags on top of other clear trash bags and the facility had COVID positive residents. On 5/17/2020 at 9:51 a.m., while walking to the facility dumpsters, the ED indicated there was a problem on 5/15/2020, with (Name of trash company) emptying the dumpsters. The trash company would not empty the dumpsters because when they came there were red trash bags inside clear trash bags, but there was only trash in those biohazard bags. The Maintenance Director placed those trash bags in black trash bags after determining there was only trash in them, but (Name of trash company) indicated it was too late in the day to pick up the garbage and they would be back on Monday (5/20/2020). The Maintenance Director and herself went around to all the isolation rooms and gathered all the red bags out of the rooms and placed them in the medication room, so they would be available if needed, but not accessible to place regular trash in. They had a problem on the North end COVID unit with the red bags and after educating the staff, the red bag usage for regular trash stopped. The South end COVID unit must have used red trash bags for regular trash. She knew they had picked up all the red biohazard trash bags off the COVID units, so she did not know what happened. Upon approaching the dumpster area, residents were observed smoking. An unidentified female resident propelling herself back into the facility passed by the dumpster and indicated while looking at the trash bags on the ground, Isn't that pretty? When asked how long the trash had been like this she indicated since Thursday, but it was not the facility's fault because the trash company would not pick up the trash on Friday like they were supposed to. At that time, the dumpsters were observed with the ED in attendance. There were two green dumpsters visible without any enclosure to protect them from pests or anyone from going through the garbage. There were two sections of wood privacy fencing behind the dumpsters and one of them was broken and leaning back. There were clear plastic trash bags with red biohazard bags on top of the clear trash bags in both dumpsters, clear trash bags full of garbage and large cardboard boxes laying on the ground stacked on top of each other in front of the left dumpster and between both dumpsters. Some of the trash bags on the ground had busted open and trash was laying on the ground including PPE (Personal Protection Equipment) staff had most likely worn on the COVID units such as; yellow gowns, shoe covers, gloves rolled up inside of each other, and masks, which included the N-95 and regular type masks, open styrofoam food containers, styrofoam cups and plastic medication cups. The ED indicated at that time, she did not realize the trash was an issue again and she did not know where the staff had gotten the red bags. She thought the trash had been picked up on Friday 5/15/2020, or she would have fixed this issue prior to leaving on Friday. On 5/17/2020 at 10:01 a.m., the two dumpsters were observed a second time for better clarity of the magnitude of the concern. The following was a description of each dumpster observation. 1. The left dumpster contained the following: a. Observing the left dumpster from the back of the dumpster, it had six bags on the ground behind it. b. Observing from the front of the dumpster the following items were observed: 1. The right lid on this dumpster was open allowing the trash to overflow over the normal fill limit. 2. The left lid was closed with trash bags on top of it. 3. In front of left dumpster were approximately nine clear trash bags stacked on top of each other on the ground. 4. The side sliding door on the dumpster was opened a quarter of the way and trash was at the opening of the sliding door and starting to push through the door opening. 2. Observing from the back of the two dumpsters, the following items were stacked up between the two dumpsters and laying on the ground behind the stacked up trash bags: a. There was approximately eight stacked clear trash bags. b. Two cardboard boxes stacked on top of each other. c. There were other stacked clear trash bags and on the ground in back of the stacked clear trash bags. 3. The right dumpster contained the following: a. One trash bag on top of the left closed lid. b. Observing from the front of the dumpster was the following: 1. The right lid on this dumpster was open allowing the trash to overflow over the normal fill limit. There were trash bags which had ripped open at the edge of the dumpster and on the ground below them was a plastic medication cup with medication debris in it, open Styrofoam containers, plastic silverware, gloves rolled into each other and used resident briefs. 2. The left lid was closed with clear trash bags stacked on top of it. 3. In front of the right dumpster was a large cardboard box used to deliver facility supplies sitting on the ground. 4. The side sliding door on the dumpster was opened a quarter of the way and trash was at the opening of the sliding door and starting to push through the door opening. During an interview, on 5/17/2020 at 11:40 a.m., the ED indicated after talking to the Maintenance Man, she found out it was small red biohazard bags. The South end COVID unit must have placed the garbage in because they had picked up all the large red bags. She did not know there were small red bags in the resident rooms, so those did not get picked up. She had done an inservice and she thought they all understood not to place regular trash in the biohazard bags. (Name of trash company) did not pick up the trash Friday (5/15/2020) because those small red bags were inside the clear bags and she did not know it. By the time she was emailed, it was too late and their trash did not get picked up. They were supposed to pick the trash up first thing Monday morning providing there was no red biohazard bags in the dumpsters. On 5/17/2020 at 11:45 a.m., the ED provided emails regarding URGENT-trash not serviced (Name of Facility). The email indicated the following emails were sent between the facility, the corporate staff of (Name of Corporation) and (Name of Trash Company). On 5/15/2020 at 11:08 a.m., the ED, Maintenance Director and a (Corporate staff member) received an email from (Name of the Trash Haul Company) indicating she had received an email from the trash hauler and there were red bags in the dumpster, so the facility dumpsters were not dumped on 5/15/2020 and would not be dumped until Monday 5/20/2020. She indicated she had spoke with the Maintenance Director on Wednesday (5/13/2020) regarding the hauler notifying them there were red bags in the dumpster, but they had already picked the trash up, so they went ahead and took the trash, but the hauler would no longer take their trash if it contained red biohazard bags. She indicated the Maintenance Director had told her there was not biohazard material actually in those red bags, but no one has anyway of determining this before picking up the trash. A black and white photo was contained in the email to show clear bags of trash mixed in with darker bags of trash. The ED, Maintenance Director, Corporate Staff and the Trash Haul Company staff member send several emails back and forth trying to figure out a solution to get the trash picked up on 5/15/2020. On 5/15/2020 at 11:49 a.m., the RDO (Regional Director of Operations) sent an email indicating COVID trash was to go into regular trash bags not red bags. On 5/15/2020 at 12:01 p.m., the ED sent an email indicating the staff misunderstood and thought the trash needed to go into red bags. They had addressed the issue with the North hall the other day and it looked like the South hall did it yesterday (5/14/2020). She had re-educated staff and was having Maintenance pull all the trash with the red bags and place them into black trash bags. On 5/15/2020 at 4:50 p.m., the Trash Haul Company staff member sent an email to all the above named people indicating a request for same day recovery on (5/15/2020) at 2:00 p.m., but the</p> |  |   |

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER  
REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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| F 0814<br><br><b>Level of harm</b> - Minimal harm or potential for actual harm<br><br><b>Residents Affected</b> - Many             | <p>(continued... from page 1)</p> <p>Trash Haul company indicated it was too late for a trash pickup. They needed to make sure there were no red biohazard bags in the dumpster on their next pickup day, which was Monday 5/20/2020. During an interview, on 5/17/2020 at 12:00 p.m., the ED indicated the policies she provided were the only policies the facility had for biohazard disposal, the dumpsters being emptied and trash disposal. A policy, titled Infection Control Practices for Maintenance Department, dated 10/29/2013, revised on 10/23/17, provided by the ED on 5/17/2020 at 12:00 p.m., indicated Policies and Standard Procedures: Scope: This policy is applicable to all adult living centers. Definitions: Maintenance Department: also known as Environmental Services Department that is responsible for the physical plant including but not limited to structures inside and outside of the building The director of the department acts as the facility representative for repairs that require specialized services including but not limited to physical repairs and pest control .Policy: It is the policy of this facility to provide resident centered care that meets the psychosocial, physical and emotional needs and concerns of the residents. The safety of residents, staff and visitors will be primary consideration. The purpose of this policy is to provide clarity for employees of the maintenance duties and responsibilities regarding duties as they relate to infection control and infection prevention practices at the facility .II. Maintenance Department Risk Exposure for Infectious Pathogens: A. Employees involved in maintenance activities have potential for direct and indirect contact with infectious body fluids and body fluids and body substances during the routine duties as well as during repair and maintenance of equipment. B. Employees will receive training in infection prevention. C Employees will receive training in use of proper donning and doffing of PPE's D. Employees will receive training in Standard Precautions and Transmission-based precautions upon hire. E. Employee are expected to follow recommended precautions .VII. Proper bagging/containment of wastes shall be enforced. A. Waste processing systems shall be maintained in such a way that leakage is avoided or reduced to a manageable level This Federal tag relates to Complaint IN 807. 3.1-21(i)(5)</p>  |  |   |
| F 0880<br><br><b>Level of harm</b> - Minimal harm or potential for actual harm<br><br><b>Residents Affected</b> - Many             | <p><b>Provide and implement an infection prevention and control program.</b></p> <p>Based on observation, interview and record review, the facility failed to dispose of potentially used PPE (Personal Protective Equipment) and red biohazard trash bags in a sanitary manner and non-infective manner for 2 of 2 dumpsters observed for improper garbage disposal. This deficient practice had the potential to affect 68 of 68 residents. Findings include: On 5/17/2020 at 9:45 a.m., during a facility revisit for COVID-19 a phone call was received indicating there were these concerns with the facility's dumpsters: they were overflowing with trash and it was laying all over the ground outside the dumpsters, red bags appeared to be inside clear plastic bags on top of other clear trash bags and the facility had COVID positive residents. On 5/17/2020 at 9:51 a.m., while walking to the facility dumpsters, the ED indicated there was a problem on 5/15/2020, with (Name of trash company) emptying the dumpsters. The trash company would not empty the dumpsters because when they came there were red trash bags inside clear trash bags, but there was only trash in those biohazard bags. The Maintenance Director placed those trash bags in black trash bags after determining there was only trash in them, but (Name of trash company) indicated it was to late in the day to pick up the garbage and they would be back on Monday (5/20/2020). The Maintenance Director and herself went around to all the isolation rooms and gathered all the red bags out of the rooms and placed them in the medication room, so they would be available if needed, but not accessible to place regular trash in. They had a problem on the North end COVID unit with the red bags and after educating them, the red bag usage for regular trash stopped. The South end COVID unit must have used red trash bags for regular trash. She knew they had picked up all the red biohazard trash bags off the COVID units, so she did not know what happened. Upon approaching the dumpster area, residents were observed finishing smoking. An unidentified female resident propelling herself back into the facility passing by the dumpster indicated while looking at the trash bags on the ground, Isn't that pretty? When asked how long the trash had been like this she indicated since Thursday, but it was not the facility's fault because the trash company would not pick up the trash on Friday like they were supposed to. At this time, the dumpsters were observed with the ED in attendance. There were two green dumpsters visible without any enclosure to protect them from pests or anyone from going through the garbage. There was two sections of wood privacy fencing behind the dumpsters and one of them was broken and leaning back. There was clear plastic trash bags with red biohazard bags on top of the clear trash bags in both dumpsters, clear trash bags full of garbage and large cardboard boxes laying on the ground stacked on top of each other in front of the left dumpster and between both dumpsters. Some of the trash bags on the ground had busted open and trash was laying on the ground including PPE (Personal Protection Equipment) staff had most likely worn on the COVID units such as; yellow gowns, shoe covers, gloves rolled up inside of each other, and masks, which included the N-95 and regular type masks, open styrofoam food containers, styrofoam cups and plastic medication cups. The ED indicated she did not realize the trash was an issue again and she did not know where the staff had gotten the red bags from. She thought the trash had been picked up on Friday 5/15/2020, or she would have fixed the issue prior to leaving on Friday. On 5/17/2020 at 10:01 a.m., the two dumpsters were observed a second time for better clarity of the magnitude of the concern. The following was a description of each dumpster observation. 1. The left dumpster contained the following: a. Observing from the front of the dumpster, there were four clear trash bags with a red biohazard bag inside each clear trash bag. Trash was observed in the clear bag, but there was no way to determine the type of items inside the red biohazard bags 1. The left lid was closed with clear trash with red biohazard bags stacked on top of it. 2. In front of left dumpster were approximately nine clear trash bags stacked on top of each other on the ground and one of those bags was noted to contain PPE such as; yellow gown, N-95 and regular masks. 2. Observing from the back of the two dumpsters, the following items were stacked up between the two dumpsters and laying on the ground behind the stacked up trash bags: a. N-95 masks, regular masks, yellow gowns, white shoe coverings and used gloves rolled on top of themselves were laying loosely on top of the other stacked clear trash bags. On the ground were approximately eight stacked clear trash bags and two cardboard boxes. 3. The right dumpster contained the following: a. One clear trash bag with a red biohazard bag inside it. Trash was observed in the clear bag, but there was no way to determine the type of items inside the red biohazard bag. b. Observing from the front of the dumpster, there was one biohazard bag inside a clear trash bag sitting on the closed left lid of the dumpster. Trash was observed in the clear bag, but there was no way to determine the type of items inside the red biohazard bags. 1. The right lid on this dumpster was open allowing the trash to overflow over the normal fill limit. There were trash bags which had ripped open at the edge of the dumpster and on the ground below them was a plastic medication cup with medication debris in it, open Styrofoam containers, plastic silverware, gloves rolled into each other and used resident briefs. During an interview, on 5/17/2020 at 11:40 a.m., the ED indicated after talking to the Maintenance Man, she found out it was small red biohazard bags. The South end COVID unit must have placed garbage in the small bags because they had picked up all the large bags. She did not know there were small red bags in the resident rooms, so those did not get picked up. She had done an inservice, so she thought they all understood not to place regular trash in the biohazard bags. (Name of trash company) did not pick up the trash Friday (5/15/2020) because those small red bags were inside the clear bags and she did not know it. By the time she was emailed, it was too late and their trash did not get picked up. They were supposed to pick the trash up first thing Monday morning providing there were no red biohazard bags in the dumpsters. On 5/17/2020 at 11:45 a.m., the ED provided emails regarding URGENT-trash not serviced (Name of Facility). The email indicated the following emails were sent between the facility, the corporate staff of (Name of Corporation) and (Name of Trash Company). On 5/15/2020 at 11:08 a.m., the ED, Maintenance Director and a (Corporate staff member) received an email from (Name of the Trash Haul Company) indicating she had received an email from the trash hauler and there were red bags in the dumpster, so the facility dumpsters were not dumped on 5/15/2020 and would not be dumped until Monday 5/20/2020. She indicated she had spoke with the Maintenance Director on Wednesday (5/13/2020) regarding the hauler notified them there were red bags in the dumpster. They had already picked the trash up, so they went ahead and took the trash. The hauler indicated they would no longer take their trash if it contained red biohazard bags. She indicated the Maintenance Director had told her there was not biohazards material actually in those red bags, but no one has anyway of determining this before picking up the trash. A black and white photo was contained in the email to show clear bags of trash mixed in with darker bags of trash. The ED, Maintenance Director, Corporate Staff and the Trash Haul Company staff member sent several emails back and forth trying to figure out a solution to get the trash picked up on 5/15/2020. On 5/15/2020 at 11:49 a.m., the RDO (Regional Director of Operations) sent an email indicating COVID trash was to go into regular trash bags not red bags. On 5/15/2020 at 12:01 p.m., the ED sent an email indicating the staff misunderstood and thought the trash needed to go into red bags. They had addressed this issue with the North hall the other day, but it looked like the South hall did it yesterday (5/14/2020). She had re-educated staff and was having Maintenance pull all the trash with the red bags and place them into black trash bags. On 5/15/2020 at 4:50 p.m., the Trash Haul Company staff member sent an email to all the above named people indicating a</p> |  |   |

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| F 0880<br><br><b>Level of harm</b> - Minimal harm or potential for actual harm<br><br><b>Residents Affected</b> - Many             | <p>(continued... from page 2)</p> <p>request was made for same day recovery (5/15/2020) at 2:00 p.m., but the Trash Haul company indicated it was too late for a trash pickup. They needed to make sure there were no red biohazard bags in the dumpster on their next pickup day, which was Monday 5/20/2020. On 5/17/2020 at 12:15 p.m., the Maintenance Director came to the facility and placed the trash bags laying on the ground in the back of his pick up truck and he was taking the trash home unit the next morning after the trash company came to empty the dumpster then he would bring those bags and place them in the dumpster. The facility had requested an extra pickup day on Tuesday to get the trash bags the Maintenance director took home with him on Sunday (5/17/2020) and was going to bring back to the facility. He indicated the Maintenance Assistant was on his way to the facility with his truck to get the rest of the trash bags he could not fit into the bed of his truck. During an interview, on 5/17/2020 at 12:00 p.m., the ED indicated the policies she provided were the only policies the facility had for biohazard disposal, the dumpsters being emptied and trash disposal. A policy, titled Infection Control Practices for Maintenance Department, dated 10/29/2013, revised on 10/23/17, provided by the ED on 5/17/2020 at 12:00 p.m., indicated Policies and Standard Procedures: Scope: This policy is applicable to all adult living centers. Definitions: Maintenance Department: also known as Environmental Services Department that is responsible for the physical plant including but not limited to structures inside and outside of the building The director of the department acts as the facility representative for repairs that require specialized services including but not limited to physical repairs and pest control .Policy: It is the policy of this facility to provide resident centered care that meets the psychosocial, physical and emotional needs and concerns of the residents. The safety of residents, staff and visitors will be primary consideration. The purpose of this policy is to provide clarity for employees of the maintenance duties and responsibilities regarding duties as they relate to infection control and infection prevention practices at the facility .II. Maintenance Department Risk Exposure for Infectious Pathogens: A. Employees involved in maintenance activities have potential for direct and indirect contact with infectious body fluids and body fluids and body substances during the routine duties as well as during repair and maintenance of equipment. B. Employees will receive training in infection prevention. C Employees will receive training in use of proper donning and doffing of PPE's D. Employees will receive training in Standard Precautions and Transmission-based precautions upon hire. E. Employee are expected to follow recommended precautions .VII. Proper bagging/containment of wastes shall be enforced. A. Waste processing systems shall be maintained in such a way that leakage is avoided or reduced to a manageable level This Federal tag relates to Complaint IN 807. 3.1-18(a)</p> |  |   |